

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>5</sup> :  A61F 13/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 93/25168  (43) International Publication Date: 23 December 1993 (23.12.93)</p>
<p>(21) International Application Number: PCT/US93/04152 (22) International Filing Date: 3 May 1993 (03.05.93)  (30) Priority data: 07/897,269 11 June 1992 (11.06.92) US  (71) Applicant: THERATECH, INC. [US/US]; 417 Wakara Way, #100, Salt Lake City, UT 84108 (US).  (72) Inventors: HEIBER, Werner, E. ; 1569 South 2300 Est, Salt Lake City, UT 84108 (US). EBERT, Charles, D. ; 1515 South Canterbury Drive, Salt Lake City, UT 84108 (US). PATEL, Dinesh, C. ; 5839 South Meadow Crest Drive, Murray, UT 84107 (US). VENKATESHWARAN, Srinivasan ; 2411 Emerson Avenue, Salt Lake City, UT 84108 (US).</p>	<p>(74) Agents: WESTERN, M., Wayne et al.; Thorpe, North &amp; Western, 9035 South 700 East, Suite 200, Sandy, UT 84070 (US).  (81) Designated States: AU, CA, HU, JP, KP, KR, NZ, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  Published With international search report.</p>	
<p>(54) Title: THE USE OF GLYCERIN IN MODERATING TRANSDERMAL DRUG DELIVERY</p> <p>(57) Abstract</p> <p>A transdermal/transmucosal drug delivery system utilizes glycerin to moderate the initial burst effect of transdermal drug delivery and also maintain the delivery to the derma/mucosa at a relatively sustained rate over the duration of the application to either an application or afflicted situs. The drug composition contains an effective amount of a percutaneously absorbable drug and an amount of glycerin which is effective to moderate and maintain the transdermal delivery of the drug. The system also contains means for maintaining the drug composition in a drug transferring relationship with the derma/mucosa. When applied to an afflicted situs the composition is preferably a gel, cream, lotion or ointment. When applied to an application situs the compositions are contained within a device such as a matrix or liquid reservoir patch which is held to the application site by means of the adhesive. The drug composition may contain glycerin in amounts of between about 0.1 to 50 % by volume.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LJ	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	MC	Mongo	TG	Togo
DE	Germany	MG	Madagascar	UA	Ukraine
DK	Denmark	ML	Mali	US	United States of America
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland				

5

THE USE OF GLYCERIN IN MODERATING TRANSDERMAL  
DRUG DELIVERY

10

FIELD OF INVENTION

The invention relates generally to a method for moderating, altering or controlling the delivery of agents across biological membranes including skin or mucosa. More particularly the invention relates to the use of glycerin to reduce initial high delivery rates while maintaining a more uniform drug penetration over the intended duration of application of a transdermal or transmucosal drug delivery system. The invention thus encompasses a method of moderating drug penetration by co-administering glycerin with various transdermal/transmucosal delivery compositions which may or may not contain permeation enhancers.

25

BACKGROUND OF THE INVENTION

The oral administration of drugs as currently employed is undesirable for a number of reasons. First, drugs with short half lives require frequent dosing (2 to 4 times daily) which can lead to inadequate compliance. Second, the short plasma half life of the drug and frequent dosing regimen result in "peaks" and "valleys" in the plasma concentration profile, which increases the likelihood of adverse side effects associated with the peak concentration as well as lapse on therapeutic effectiveness towards the end of the dosing interval. Third, the potential hepatic first pass metabolism associated with oral administration could lead to poor bioavailability of the drug. Thus, an effective and consistent transdermal drug delivery system for such drugs would be far superior to the current oral regimen.

Transdermal delivery of drugs provides many advantages over conventional oral administration.

Advantages of transdermal systems include convenience, noninterrupted therapy, improved patient compliance, reversibility of treatment (by removal of the system from the skin), elimination of "hepatic first pass" effect, the high degree of control over blood concentration of any particular drug and consequent reduction of side effects.

Although transdermal systems have many advantages, most drugs are not amenable to this mode of administration due to the well known barrier properties of the skin. Molecules moving from the environment into and through intact skin must first penetrate the stratum corneum and any material on its surface. The molecule must then penetrate the viable epidermis, the papillary dermis, and then the capillary walls and into systemic circulation. Along the way each of the above mentioned tissues will exhibit a different resistance to penetration by the same molecule; however, it is the stratum corneum that presents the greatest barrier to absorption of topical compositions or transdermally administered drugs. The stratum corneum, the outer horny layer of the skin, is a complex structure of compact keratinized cell remnants separated by lipid domains. Compared to the oral or gastric mucosa, the stratum corneum is much less permeable to outside molecules.

The flux of a drug across the skin can be increased by changing either a) the resistance (the diffusion coefficient), or b) the driving force (the solubility of the drug in the stratum corneum and consequently the gradient for diffusion). Many enhancer compositions have been developed to change one or more of these factors, and are known in the art. U.S. Patents Numbers 4,006,218, 3,551,154 and 3,472,931, for example, respectively describe the use of dimethylsulfoxide (DMSO), dimethyl formamide (DMF) and N,N-dimethylacetamide (DMA) to enhance the

absorption of topically applied drugs through the stratum corneum. Combinations of enhancers consisting of diethylene glycol monoethyl or monomethyl ether with propylene glycol monolaurate and methyl laurate are disclosed in U.S. Patent 4,973,468 as enhancing the transdermal delivery of steroids such as progestogens and estrogens. A dual enhancer consisting of glycerol monolaurate and ethanol for the transdermal delivery of drugs is shown in U.S. Patent 4,820,720. U.S. Patent 5,006,342 lists numerous enhancers for transdermal drug administration consisting of fatty acid esters or fatty alcohol ethers of C<sub>2</sub> to C<sub>4</sub> alkanediols, where each fatty acid/alcohol portion of the ester/ether is of about 8 to 22 carbon atoms.

Even if a drug is amenable to transdermal delivery various problems may arise during the course of delivery. One such problem is known as the "burst effect". When a transdermal/transmucosal delivery system is applied to skin, it may deliver a very high dose of the drug initially which then drops or levels off after a period of time to reach acceptable plasma levels. The burst effect is caused by various factors. One such cause is the transdermal system itself. When a transdermal delivery system is applied to a biological membrane initially there exists a very high potential driving force within the system. As time passes the system loses drug and or enhancer, thus the potential driving force is also reduced resulting in less drug being delivered.

The invention herein described provides a solution to this problem, by virtue of the discovery that glycerin, when added to a transdermal formulation, effectively reduces the initial dose of a drug and thus reduces or eliminates the initial "burst effect" while maintaining a more uniform drug penetration over the intended duration of application.

Glycerin is well known in the industry as an emollient, such as taught by U.S. Pat. No. 4,687,481, and as an anti-irritant, as taught by U.S. Pat. No. 4,855,294. However, the applicants are unaware of any teaching in the art to the effect that glycerin functions to inhibit or reduce the initial rapid dose, i.e. burst effect, of a pharmaceutical agent in the manner described herein. In fact it is taught in U.S. Patent 4,855,294 that, in certain instances, glycerin has no effect on time averaged flux rates of drug across the tissues of the skin.

#### Summary of the Invention

Accordingly, it is a primary objective of the present invention to provide a method for reducing the initial burst effect associated with transdermal/transmucosal drug delivery systems while maintaining a more uniform drug penetration over the intended duration of the delivery system without significantly reducing the dose administered.

It is still another object of the invention to provide a composition for transdermal/transmucosal administration of a selected drug, the composition containing glycerin as a permeation flux moderator and maintainer of a relatively uniform dosing profile.

In one aspect of the invention, a method is provided for reducing the burst effect in transdermal delivery systems by moderating the initial delivery and also maintaining a more uniform drug penetration over the intended duration of drug delivery. The method comprises applying an effective amount of glycerin to predetermined area of skin in combination with the selected drug and, if used, a permeation enhancer. The composition which is applied may include glycerin in combination with other transdermal system components such as adhesives, ointments, enhancers, carriers, vehicles and the like. The

composition may also contain a mixture of drugs and/or a mixture of permeation enhancers and other transdermal system components.

While the invention has been found to be effective with all types of drugs, it is recognized that all drugs might not respond to the presence of glycerin in the same manner. Therefore, the invention is directed generally to reducing the initial burst effect in transdermal delivery systems and maintaining a more uniform drug permeation over the intended duration of application, particularly when used in conjunction with drug permeation enhancers. The combination of glycerin in a delivery system is not limited to any particular format or composition. Delivery patches, in liquid reservoir or matrix forms, or simple application of a drug applied to the skin in free form as a cream, gel, or ointment are all within the scope of the invention. The only limitation is that the composition must be effective for its intended use.

#### DETAILED DESCRIPTION

The following definitions will be useful in describing the invention and will eliminate the need for repetitive explanations.

"Penetration enhancement" or "permeation enhancement" as used herein relates to an increase in the permeability of a biological membrane (i.e. skin or mucosal) to a drug, so as to increase the rate at which the drug permeates through the membrane. The enhanced permeation effected through the use of such enhancers can be observed, for example, by measuring the rate of diffusion of the drug through animal or human skin using a diffusion cell apparatus. The diffusion cell is described by Merritt et al..

Diffusion Apparatus for Skin Penetration, *J. of Controlled Release*, 1 (1984) pp. 161-162.

By "transdermal" delivery, the applicant intends to include both transdermal (or "percutaneous") and  
5 transmucosal administration, i.e., delivery by passage of drug through the skin or mucosal tissue. Hence the terms "transdermal" and "transmucosal" are used interchangeably unless specifically stated otherwise. Likewise the terms "skin", "derma", "epidermis",  
10 "mucosa" and the like shall be used interchangeably unless specifically stated otherwise.

By "afflicted situs" is meant a localized area of pathology, discomfort, infection, inflammation or lesion, and the immediately surrounding area.

15 By "application situs" is meant a site suitable for topical application with or without the means of a mechanical sustained release device, patch or dressing, e.g., behind the ear, on the arm, back chest, stomach, leg, top of foot, etc.

20 "Burst effect" refers to the high dose of drug delivered after initial application of a transdermal/transmucosal delivery system or formulation, followed by lower doses at later time periods, leading to a highly non uniform drug delivery  
25 profile.

"Carriers" or "vehicles" as used herein refer to carrier materials suitable for transdermal drug administration, and include any such materials known in the art, i.e., any liquid gel, solvent, liquid  
30 diluent, adhesive, or the like, which is nontoxic and which does not interact with other components of the composition in a deleterious manner. Carriers, which also may function as solvents in some instances, are used to provide the compositions of the invention in  
35 their preferred form. Examples include, but not limited to, water, ethanol, propanol, isopropanol, mineral oil, silicone, polyethylene glycol,



polypropylene glycol, liquid sugars, waxes, petroleum jelly and a variety of other oils and polymeric materials along with polyacrylate, silicone, natural and synthetic rubbers or other adhesives.

- 5 By the term "drug" is meant any chemical material or compound suitable for transdermal or transmucosal administration which includes a desired biological or pharmacological effect by topical application to the "affliction situs" or by systemic delivery from the
- 10 "application situs" to a desired target area. Such substances include the broad classes of compounds normally delivered through body surfaces such as the skin. In general, this includes therapeutic agents in all of the major therapeutic areas including, but not
- 15 limited to, anti-infectives such as antibiotics and antiviral agents, analgesics and analgesic combinations, anorexics, antidiarrheal, antihistamines, anti-inflammatory agents, antimigraine preparations, antmotion sickness agents,
- 20 antinauseants, antineoplastic, antiparkinsonism drugs, antipruritic, antipsychotic, antipyretics, antispasmodics including gastrointestinal and urinary, anticholinergic, sympathomimetic, xanthine derivatives, cardiovascular preparations including
- 25 calcium channel blockers, beta-blockers, antiarrythmics, antihypertensives, diuretics, vasodilator including general coronary, peripheral and cerebral, central nervous system stimulants including cough and cold preparations, decongestants,
- 30 diagnostics, hormones, immunosuppressives, muscle relaxants, parasympatholytic, parasympathomimetic, psychostimulants, sedatives and tranquilizers.

- By "effective" amount of a drug is meant a nontoxic but sufficient amount of a compound to
- 35 provide the desired local or systemic effect. An "effective" amount of permeation enhancer as used herein means an amount selected so as to provide the

desired increase in membrane permeability and, correspondingly, the desired depth of penetration, rate of administration and amount of drug. By "effective" amount of glycerin is meant the amount  
5 found beneficial in a particular delivery system to achieve the desired moderating of the initial burst effect followed by the relatively uniform delivery of the drug from the system.

By "system", "drug delivery system", "transdermal  
10 delivery system" or the like is meant a unit dosage form of a drug composition, including carriers, enhancers, glycerin and other components, which are contained in or accompanied by means for maintaining the drug composition in a drug transferring  
15 relationship with the derma, i.e. the skin or mucosa. Such means may be either a patch or other device of determined physical form to be held against the skin for continuous drug administration to an application situs for systemic transport or formulated in free  
20 form to be applied directly to an afflicted situs as a cream, gel, ointment and the like. Preferably the means used will be a device such as a matrix or liquid reservoir form patch.

By "drug composition", "drug/enhancer  
25 composition" or any similar terminology is meant a formulated composition containing the drug to be transdermally delivered in combination with such "carriers" or "vehicles", penetration enhancers, excipients, or any other additives. Because the  
30 invention is applicable to the utilization of glycerin as a modulator and maintainer of drug delivery with or without the presence of a penetration enhancer, the term "drug composition" shall be deemed to be inclusive of formulations containing enhancers unless  
35 such use is specifically excluded.

In the preferred embodiment of the present invention, glycerin is used to reduce the initial

burst effect of a transdermally delivered drug and to also maintain a more uniform rate of drug penetration over the duration of the application of the transdermal system. The method involves treating the skin with glycerin prior to or concurrently with the administration of a drug delivery composition. The glycerin may be applied just prior to the administration of a drug composition. Alternatively, in the preferred embodiment, the drug composition that is to be transdermally administered is formulated so as to contain an effective amount of glycerin. As stated above, the drug composition may, in addition, include one or more carriers, vehicles, or excipients, and various agents and ingredients commonly employed in dermatological and cosmetic ointments or other preparations. Examples are, but not limited to, fragrances, pacifiers, preservatives, anti-oxidants, gelling agents, emollients, oils, stabilizers, coloring agents and the like may be present.

In the preferred embodiments, i.e., in which a drug composition for transdermal administration is formulated to contain an effective amount of glycerin with or without the presence of a penetration enhancer, the proportions of various drug and, when used, enhancer components are those typically in use. In other words, specific amounts of drug and/or enhancer are not critical and do not form part of the invention. The amount of glycerin making up an "effective amount" is normally in the range of 1.0% to 50% on a by volume basis, depending upon the type of formulation in which glycerin is to be incorporated, e.g. with or without the presence of an enhancer, incorporated into a matrix adhesive or placed in a liquid reservoir, used with or without a gelling agent, etc.

The maximum glycerin concentration will primarily be dictated by its functionality. For example, when

formulating the drug, glycerin, an enhancer (if used) and any other component into a matrix/adhesive device, it is difficult to have a high glycerin concentration and still retain the adhesive qualities of the matrix.

5 On the other hand, when used in liquid reservoir types of devices, too high a glycerin concentration may cause phase separation of various components or may interfere with a gelling agent such that the gelled formulation or ointment becomes stringy in texture and

10 difficult to manufacture. For these reasons the total concentration of glycerin in a drug composition will not exceed about 50% by volume. In cases of formulation into a matrix/adhesive device the glycerin content will preferably not exceed about 20% by volume

15 of the drug composition/adhesive combination. Most preferably the matrix/adhesive formulation will contain between about 0.5 and 10% by volume glycerin. The minimum glycerin concentration will also be dictated by functionality. There must be sufficient

20 glycerin present to prevent the burst effect referred to above and to maintain transdermal drug on a somewhat consistent basis. In some instances amounts of a little as 0.1% by volume may be sufficient. When combined in a liquid reservoir type device the

25 glycerin content has greater flexibility then in a matrix patch. Glycerin contents in liquid reservoir devices may preferably vary between about 1 and 50% by volume.

It will be appreciated by those skilled in the

30 art that relative amounts of the other components in these compositions can vary greatly. For example, the amount of drug present in a given composition will depend upon a variety of factors, including but not limited to, the disease or condition to be treated,

35 the nature of the drug, the activity of the drug, the desired effect, possible adverse reactions, the cost and availability of the drug, solubility of the drug,

and other factors within the particular knowledge of the patient and physician. The amount of enhancer present in the composition will also depend on a number of factors, e.g., on the depth of cutaneous penetration desired, solubility of the drug in the enhancer, the strength of the particular enhancer, and the like.

While the invention is directed to the use of glycerin in any compatible drug composition, it is preferable that glycerin be formulated in a composition which does contain an enhancer. Although the invention is suitable for use with all enhancer compositions, there are certain enhancer compositions which are preferred. These will generally be one or more members selected from the group consisting of organic solvents and cell-envelope disordering compounds and mixtures thereof. Water may also be present. Preferred cell-envelope disordering compounds are members selected from the group consisting of isopropyl myristate, methyl laurate, oleic acid, oleyl alcohol, glycerol monooleate, glycerol dioleate, glycerol trioleate, glycerol monostearate, glycerol monolaurate, propylene glycol monolaurate and sorbitan mono-, di- and tri-esters and mixtures thereof. Preferred solvents are members selected from the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl) pyrrolidone, triacetin, propylene carbonate and dimethyl isosorbide and mixtures thereof. Ethanol, propanol, and isopropanol are particularly preferred as solvents. Water, as desired, may be added to either the cell envelope disordering compounds, solvents or mixtures thereof. In certain instances a compound can be both a cell envelope disordering compound and a solvent. Therefore, there can be a cross over from one category

to the other without departing from the scope of this invention.

The method of application of the present invention may vary within limits, but necessarily involves applying the selected drug composition to the skin or other tissue where drug delivery is initiated at a moderated rate avoiding the usual "burst" effect and continues at a relatively sustained rate for a period of time sufficient to provide the desired pharmacological or biological response. When applied to an "afflicted situs" the method may involve a gel, lotion, cream, ointment, or the like. When applied to an "application situs" for systemic delivery to another location the method may involve the use of a drug delivery system device as taught, for example, in U.S. Patent Nos. 3,742,951, 3,797,494, 4,568,343 or 4,849,224. As noted above, glycerin is preferably co-administered with the drug/enhancer composition, however, it may also be applied in a pre-system application of the transdermal system or incorporated into an adhesive.

Preferred drug delivery devices include two types. The first is a matrix formulation where glycerin is incorporated into an adhesive layer.

The second type is a liquid reservoir device wherein the reservoir contains a combination of the drug to be delivered and glycerin. The liquid reservoir may or may not contain an enhancer or enhancers and other ingredients as defined above. The reservoir may be in a liquid form, or the liquid may be gelled or thickened by an agent such as mineral oil, petroleum jelly and various aqueous gelling agents and hydrophilic polymers. The above liquid reservoir or matrix device is brought in contact with the skin at the application situs and is held in place on the skin at the application situs by a suitable adhesive. The drug enhancer composition is applied to

the skin through a permeable membrane forming the reservoir floor which is in direct contact with the skin.

The utilization of glycerin, as delineated  
5 herein, to moderate transdermal drug delivery is not characteristic of the stated prior art purposes for adding glycerin to formulations for dermal application. This invention is therefore novel and produces results which are unexpected from prior art  
10 statements relative to glycerin use.

It is to be understood that while the invention has been described in conjunction with the preferred specific embodiments thereof, that which follow are intended to illustrate and not limit the scope of the  
15 invention. Other aspects of the invention will be apparent to those skilled in the art to which the invention pertains.

#### Experimental

##### Skin Flux Studies

20 The in vitro human cadaver skin flux studies were conducted using modified Franz non-jacketed permeation cells. The temperature of the cells were maintained at 32°C by placing the cells in a water bath positioned over a stirring module. The epidermal  
25 membrane had been separated from the human cadaver whole skin by the heat-separation method of Klingman and Christopher (*Arch. Dermatol.* 88:702 (1963)) involving the exposure of the full thickness skin to 60°C heat for 60 seconds, after which time the stratum  
30 corneum and part of the epidermis (epidermal membrane) was gently peeled off the dermis. The epidermal membrane was cut and placed between two halves of the permeation cell with the stratum corneum facing the donor compartment. The diffusion cells were allowed  
35 to hydrate at 32°C overnight with 0.02% (w/v) sodium azide solution in the receiver compartment. The next morning test formulations were placed on the stratum

corneum. An appropriate receiver solution was placed in the receiver compartment in contact with the dermal side of the epidermis, to ensure sink conditions for the drug. At predetermined sampling intervals, the contents of the receiver compartment were sampled and analyzed by HPLC to determine the drug concentration.

Interval flux ( $\mu\text{g}/\text{cm}^2/\text{hr}$ ) was calculated based upon the incremental change in the cumulative amount delivered ( $\mu\text{g}/\text{cm}^2$ ) over a specified time period (hours).

#### Example 1

Clonidine is a centrally acting alpha agonist and is an antihypertensive agent. It is an imidazoline derivative whose chemical name is 2,6-dichloro-N-2-imidazolidinylidenebenzenamine and is commercially available under the tradename CATAPRESS™. CATAPRESS™ systems were tested as described above, along with two inert adhesive matrix systems. One matrix used a solvent based polyacrylate adhesive, manufactured and marketed by Monosanto Chemical Company of St. Louis, Missouri under the tradename Gelva 737™ (herein referred to as G737), and the other a solvent based silicone adhesive manufactured and marketed by Dow Corning Corp. of Midland, Michigan under the tradename Bio-PSA X7-4302™ (herein referred to as X7-4302).

The matrix formulations were prepared as follows: a known weight of G737 or X7-4302 adhesive solution was weighed into a scintillation vial. Predetermined amounts of drug and/or glycerin were incorporated into the solution to give the required final dried film composition shown in Table 1. The scintillation vial was then tightly capped, sealed with parafilm, and rotated for a few hours until all ingredients were dissolved. Approximately 4 ml of this solution was then dispensed on a release liner and cast with a 10 mil gap casting knife. The cast was then dried in a convection oven at 70°C for 15 minutes to evaporate



the solvent and to yield a dried film approximately 2.0 mil thick. A backing film was then laminated onto the dried adhesive film using a rubber roller. This matrix laminate was used to conduct the skin flux studies. The receiver compartment was filled with 0.067M  $\text{NaH}_2\text{PO}_4$  solution containing 0.02%  $\text{NaN}_3$ .

The Results of the skin flux experiments are presented in Table 1. The CATAPRESS™ patch shows a high burst effect in the first 12 hour period. The matrix systems without glycerin also show a burst effect, but the systems with glycerin show a lower flux rate in the initial interval followed by a more constant permeation of the drug over 48 hours. The cumulative amount of clonidine delivered over 48 hours by all systems are substantially the same.

The results shown in Table 1 are illustrative of formulations in matrix form which do not contain an enhancer. The non-glycerin containing formulations clearly demonstrate a "burst" effect whereas, the addition of a minor amount of glycerin, just as clearly moderates the burst effect and maintains the delivery rate of clonidine at a more constant level than when glycerin is not present.

TABLE 1

Drug	Adhesive Matrix Composition (% w/w)			Interval Flux ( $\mu\text{g}/\text{cm}^2/\text{h}$ )					Cumulative Permeation ( $\mu\text{g}/\text{cm}^2/48\text{h}$ )	
	Adhesive	Drug	Glycerin	0-6 hr.	6-12 hr.	12-24 hr.	24-30 hr.	30-36 hr.		36-48 hr.
CATAPRESS				4.0	6.7	4.1	3.6	3.3	3.0	191
Clonidine (G737)	94	3	0	3.6	7.7	5.3	4.3	3.2	2.5	206
Clonidine (G737)	94.5	3	2.5	1.2	4.9	4.8	4.4	3.6	2.6	173
Clonidine (X7-4302)	98.25	1.75	0	3.7	8.8	6.7	4.9	3.6	2.8	240
Clonidine (X7-4302)	95.75	1.75	2.5	1.0	5.8	6.5	6.1	4.8	3.5	229

## EXAMPLE 2

Progesterone is a naturally occurring female steroid hormone. Its molecular weight is 314.4.

5 Progesterone compositions were formulated using ethanol, water, glycerol monooleate (GMO), and methyl laurate (ML) as an enhancer with all but the base formulation containing glycerin in varying amounts as indicated by Table 2. Formulations were prepared  
10 using the second composition from Table 2 as exemplary. First, 0.15 grams of progesterone were added to a mixed solution made up of 2.8 ml H<sub>2</sub>O, 1.0 ml glycerin, 0.1 ml GMO, 0.1 ml methyl laurate, and 6.0 ml EtOH. This drug solution mixture was subjected  
15 to sonication until the drug was dissolved. The next step was to add 0.15 g of Carbopol 1342 to the above solution followed by the addition of 66 ul 2N NaOH. The resulting mixture was homogenized using a virtishear homogenizer. The only variable to this  
20 method in preparing the other formulations shown in Table 2 was in the quantities of water and glycerin used.

Interval flux were evaluated as indicated above, and the results are set forth in Table 2. The  
25 receiver solution used in the receptor side of the cell was 3% bovine albumin with 0.01% gentamicin sulfate.

The interval flux in the initial interval (0-3h) is lower for the glycerin containing formulations than  
30 the non glycerin formulation. The extent of flux lowering in the initial interval is again a function of the concentration of glycerin in the formulation. All the glycerin containing formulations give a higher flux in the 9 - 24h period than the non glycerin  
35 formulation so that the cumulative amount of drug delivered over the 24 hours is somewhat similar for all the formulations.

TABLE 2

Drug	Enhancer Composition (% v/v)				Interval Flux ( $\mu\text{g}/\text{cm}^2/\text{h}$ )					Cumulative Permeation ( $\mu\text{g}/\text{cm}^2/24\text{h}$ )	
	EtOH	H <sub>2</sub> O	GMO	ML Glycerin							
					0-3 hr.	3-6 hr.	6-9 hr.	9-12 hr.	12-24 hr.		
Progesterone	60	18	1	1	0	20.5	21.2	14.2	11.1	7.5	278
Progesterone	60	28	1	1	10	13.4	25.2	19.9	12.9	10.8	370
Progesterone	60	18	1	1	20	8.1	24.5	24.3	14.8	10.0	300

## EXAMPLE 3

Testosterone is a naturally occurring male steroid hormone which when not present causes hypogonadism. Native testosterone cannot be administered orally due to extensive pre-systemic metabolism. At present, the most effective and safest approach for treating testosterone deficiency states is the administration of synthetic testosterone esters, e.g. testosterone enanthate and testosterone cypionate, in 200 mg doses given by deep intramuscular injection at two-week intervals. One skilled in the art will recognize that testosterone, administered transdermally, would be superior to prior art methods. When attempts are made to deliver testosterone by means of a transdermal delivery system, the drug exhibits a very high initial burst effect which would not be beneficial to any known form of treatment of a testosterone deficiency. When glycerin is added to testosterone formulations the initial burst effect is diminished and a more constant level of delivery is established over the duration of administration.

Testosterone compositions were formulated using ethanol, water, glycerol monoleate (GMO) and methyl laureate (ML) as enhancer systems with all formulations containing varying amounts of glycerin as indicated by Table 3.

Formulations were prepared using the second composition from Table 3 as exemplary. First, 0.10 grams of testosterone was added to a mixed solution containing 1.5 ml H<sub>2</sub>O, 3.0 ml glycerin, 0.25 ml GMO, 0.1 ml methyl laurate, and 5.0 ml EtOH. This drug solution mixture was subjected to sonication until the drug was dissolved. The next step was to add 0.30 g of Carbopol 1342 to the above solution followed by the addition of 132 ul 2N NaOH. The resulting mixture was homogenized using a virtishear homogenizer. The only variable to this method in preparing the other

TABLE 3

Drug	Enhancer Composition (% v/v)					Interval Flux ( $\mu\text{g}/\text{cm}^2/\text{hr}$ )					Cumulative Permeation ( $\mu\text{g}/\text{cm}^2/24\text{hr}$ )
	EtOH	H <sub>2</sub> O	GMO	ML Glycerin							
					0-3 hr.	3-6 hr.	6-9 hr.	9-12 hr.	12-24 hr.		
Testosterone	50	30	2.5	2.5	15	22.2	26.1	19.9	13.1	10.2	367
Testosterone	50	15	2.5	2.5	30	18.0	26.7	19.0	17.7	12.7	397
Testosterone	50	0	2.5	2.5	45	10.4	26.8	20.9	16.9	14.9	404

formulations shown in Table 3 was in the quantities of water and glycerin used.

Flux indices were evaluated as described above, with the receiver solution in the receptor side of the cell containing 3% bovine albumin and 0.01% gentamicin sulfate, and the results are set forth in Table 3.

Table 3 demonstrates the effect of increasing the concentration of glycerin on the flux rate of testosterone over a 24 hour period. The addition of increasing amounts of glycerin progressively lowers the flux in the initial interval (0-3 hrs), but the higher glycerin formulations give higher flux into the last interval (12-24 hrs) so that all the formulations are equivalent with respect to the cumulative amount of drug delivered over 24 hours.

The above examples are but illustrative of drugs or transdermal formulations which may be employed in operation of the present invention. The invention is directed to the discovery that the addition and/or utilization of glycerin moderates the "burst" effect associated with transdermal delivery and also maintains the transdermal drug delivery at a more constant rate than is experienced when glycerin is not present. Therefore, specific drugs and/or formulations are not critical as long as they are compatible with glycerin. Within the guidelines presented herein, a certain amount of experimentation to obtain optimal formulations can be readily carried out by those skilled in the art. Therefore, the invention is limited in scope only by the following claims and functional equivalents thereof.

## CLAIMS

1. A drug delivery system for transdermal application to moderate the initial drug delivery and maintain said delivery to the derma at a relatively sustained rate over the duration of said application comprising:
- (a) a drug composition containing an effective amount of a percutaneously absorbable drug and an effective amount of glycerin to moderate and maintain the transdermal delivery of said drug, and
- (b) means for maintaining said drug composition in a drug transferring relationship with the derma.
2. A drug delivery system according to Claim 1 wherein said drug composition contains between about 0.1 to 50% by volume glycerin.
3. A drug delivery system according to Claim 2 wherein said drug composition and means for maintaining said drug composition are combined into a single formulation.
4. A drug delivery system according to Claim 3 wherein said formulation is in free form for application to an afflicted situs and is a member selected from the group consisting of a gel, lotion, cream and ointment.
5. A drug delivery system according to Claim 4 wherein said drug composition additionally contains an effective amount of a penetration enhancer.
6. A drug delivery system according to Claim 5 wherein said penetration enhancer is a member selected



from the group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

5           7. A drug delivery system according to Claim 6  
wherein said organic solvent is a member selected from  
the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub>  
diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-  
2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl)  
10 pyrrolidone, triacetin, propylene carbonate and  
dimethyl isosorbide and mixtures thereof and said  
cell-envelope disordering compound is a member  
selected from the group consisting of isopropyl  
myristate, methyl laurate, oleic acid, oleyl alcohol,  
15 glycerol monoleate, glycerol dioleate, glycerol  
trioleate, glycerol monostearate, glycerol  
monolaurate, propylene glycol monolaurate and sorbitan  
esters and mixtures thereof.

20           8. A drug delivery system according to Claim 7  
wherein said organic solvent is a C<sub>2</sub> or C<sub>3</sub> alcohol.

          9. A drug delivery system according to Claim 2  
wherein said system comprises a device of determined  
25 physical form for application to an application situs  
and said means for maintaining said drug composition  
in a drug transferring relationship with the derma is  
an adhesive.

30           10. A drug delivery system according to Claim 9  
wherein said device is a matrix/adhesive patch wherein  
said drug composition and said adhesive are combined.

          11. A drug delivery system according to Claim 10  
35 wherein the glycerin content of said drug composition  
adhesive combination is between about 0.1 and 20% by  
volume.

12. A drug delivery system according to Claim 11 wherein said drug composition adhesive combination additionally contains an effective amount of a penetration enhancer.

5

13. A drug delivery system according to Claim 12 wherein said penetration enhancer is a member selected from the group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

10

14. A drug delivery system according to Claim 13 wherein said organic solvent is a member selected from the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl) pyrrolidone, triacetin, propylene carbonate and dimethyl isosorbide and mixtures thereof and said cell-envelope disordering compound is a member selected from the group consisting of isopropyl myristate, methyl laurate, oleic acid, oleyl alcohol, glycerol monoleate, glycerol dioleate, glycerol trioleate, glycerol monostearate, glycerol monolaurate, propylene glycol monolaurate and sorbitan esters and mixtures thereof.

15

20

25

15. A drug delivery system according to Claim 10 wherein the glycerin content of the drug composition adhesive combination is between about 0.5 and 10% by volume.

30

16. A drug delivery system according to Claim 15 wherein said drug is clonidine.

35

17. A drug delivery system according to Claim 9 wherein said device is a liquid reservoir type patch

and wherein said drug composition is contained in said reservoir.

18. A drug delivery system according to Claim 17  
5 wherein said drug composition additionally contains an effective amount of a penetration enhancer.

19. A drug delivery system according to Claim 18  
10 wherein said penetration enhancer is a member selected from the group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

20. A drug delivery system according to Claim 19  
15 wherein said organic solvent is a member selected from the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl) pyrrolidone, triacetin, propylene carbonate and  
20 dimethyl isosorbide and mixtures thereof and said cell-envelope disordering compound is a member selected from the group consisting of isopropyl myristate, methyl laurate, oleic acid, oleyl alcohol, glycerol monoleate, glycerol dioleate, glycerol  
25 trioleate, glycerol monostearate, glycerol monolaurate, propylene glycol monolaurate and sorbitan esters and mixtures thereof.

21. A drug delivery system according to Claim 17  
30 wherein the glycerin content of said drug composition is between about 1.0 and 50% by volume.

22. A drug delivery system according to Claim 20  
35 wherein the solvent is a C<sub>2</sub> or C<sub>3</sub> alcohol.

23. A drug delivery system according to Claim 21  
wherein the drug is progesterone.

24. A drug delivery system according to Claim 21 wherein the drug is testosterone.

25. A method of moderating and maintaining  
5 transdermal drug delivery to the derma at a relatively sustained rate over the duration of application to a situs by bringing said derma into contact with a delivery system comprising:

10 (a) a drug composition containing an effective amount of a percutaneously absorbable drug and an effective amount of glycerin to moderate and maintain the transdermal delivery of said drug, and

15 (b) means for maintaining said drug composition in a drug transferring relationship with said derma.

26. A method according to Claim 25 wherein said drug composition contains between about 0.1 to 50%  
20 volume glycerin.

27. A method according to Claim 26 wherein said drug composition and means for maintaining said drug composition are combined into a single formulation.  
25

28. A method according to Claim 27 wherein said situs is an afflicted situs and the formulation is in free form as a member selected from the group consisting of a gel, lotion, cream and ointment.  
30

29. A method according to Claim 28 wherein said drug composition additionally contains an effective amount of a penetration enhancer.

30. A method according to Claim 29 wherein said penetration enhancer is a member selected from the  
35

group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

31. A method according to Claim 30 wherein said  
5 organic solvent is a member selected from the group  
consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol,  
DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one,  
N-methyl pyrrolidone and N-(2-hydroxyethyl)  
pyrrolidone, triacetin, propylene carbonate and  
10 dimethyl isosorbide and mixtures thereof and said  
cell-envelope disordering compound is a member  
selected from the group consisting of isopropyl  
myristate, methyl laurate, oleic acid, oleyl alcohol,  
glycerol monoleate, glycerol dioleate, glycerol  
15 trioleate, glycerol monostearate, glycerol  
monolaurate, propylene glycol monolaurate and sorbitan  
esters and mixtures thereof.

32. A method according to Claim 31 wherein said  
20 organic solvent is a C<sub>2</sub> or C<sub>3</sub> alcohol.

33. A method according to Claim 26 wherein said  
situs is an application situs, said drug delivery  
system comprises a device of determined physical form,  
25 said means for maintaining said drug composition in a  
drug transferring relationship with the derma is an  
adhesive and said drug delivery system is affixed to a  
specified area of said derma by means of said adhesive  
for the duration of said application to said  
30 application situs.

34. A method according to Claim 33 wherein said  
device is a matrix/adhesive patch wherein said drug  
composition and said adhesive are combined.

35

35. A method according to Claim 34 wherein the glycerin content of said drug composition adhesive combination is between about 0.1 and 20% by volume.

5           36. A method according to Claim 35 wherein said drug composition adhesive combination additionally contains an effective amount of a penetration enhancer.

10           37. A method according to Claim 36 wherein said penetration enhancer is a member selected from the group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

15           38. A method according to Claim 37 wherein said organic solvent is a member selected from the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl) pyrrolidone,  
20 triacetin, propylene carbonate and dimethyl isosorbide and mixtures thereof and said cell-envelope disordering compound is a member selected from the group consisting of isopropyl myristate, methyl laurate, oleic acid, oleyl alcohol, glycerol  
25 monoleate, glycerol dioleate, glycerol trioleate, glycerol monostearate, glycerol monolaurate, propylene glycol monolaurate and sorbitan esters and mixtures thereof.

30           39. A method according to Claim 34 wherein the glycerin content of the drug composition adhesive combination is between about 0.5 and 10% by volume.

40. A method according to Claim 38 wherein said drug is clonidine.

41. A method according to Claim 33 wherein said device is a liquid reservoir type patch and wherein said drug composition is contained in said reservoir.

42. A method according to Claim 41 wherein said drug composition additionally contains an effective amount of a penetration enhancer.

43. A method according to Claim 42 wherein said penetration enhancer is a member selected from the group consisting of an organic solvent and a cell-envelope disordering compound and mixtures thereof.

44. A method according to Claim 43 wherein said organic solvent is a member selected from the group consisting of a C<sub>2</sub> or C<sub>3</sub> alcohol, and C<sub>3</sub> or C<sub>4</sub> diol, DMSO, DMA, DMF, 1-n-dodecyl-cyclazacyclo-heptan-2-one, N-methyl pyrrolidone, N-(2-hydroxyethyl) pyrrolidone, triacetin, propylene carbonate and dimethyl isosorbide and mixtures thereof and said cell-envelope disordering compound is a member selected from the group consisting of isopropyl myristate, methyl laurate, oleic acid, oleyl alcohol, glycerol monoleate, glycerol dioleate, glycerol trioleate, glycerol monostearate, glycerol monolaurate, propylene glycol monolaurate and sorbitan esters and mixtures thereof.

45. A method according to Claim 41 wherein the glycerin content of said drug composition is between about 1.0 and 50% by volume.

46. A method according to Claim 44 wherein the solvent is a C<sub>2</sub> or C<sub>3</sub> alcohol.

47. A method according to Claim 45 wherein the drug is progesterone.

48. A method according to Claim 45 wherein the  
5 drug is testosterone.



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/04152

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A61F 13/00

US CL : 424/449

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/449, 447, 448

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Cancer Abstracts, APS; glycerin, adhesive, transdermal patch

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,059,189 (CILENTO) 22 OCTOBER 1991, See entire document.	1-48
Y	US, A, 4,879,274 (KAMIYA) 07 NOVEMBER 1989, See entire document.	1-48
Y	Chem. Abstracts Vol. 112, 204738N Topical pharmaceutical and cosmetic gel base containing oil-in-water emulsion (KOBAYASHI) 1990. See entire document.	1-48
Y	Chem. Abstracts Vol. 112, 185670R Medicament Release from ointment bases v. Naproxen in vitro release and in vivo percutaneous absorption in Rabbits (RAHMAN) 1990. See entire document.	1-48

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search  
06 JULY 1993

Date of mailing of the international search report

SEP 09 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized officer

NEIL LEVY

Telephone No. (703) 308-2351

## INTERNATIONAL SEARCH REPORT

International application No.  
PC1/US93/04152

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Chem. Abstracts Vol. 110, 219086F Sustained - Release topical analgesics containing hydrogels, polymers and solubilizers (KITA) 1988. See entire document.	1-48